

# Kitaev Model and Majoranas

Som Phene(15D110001)  
Adway Gupta(175120034)

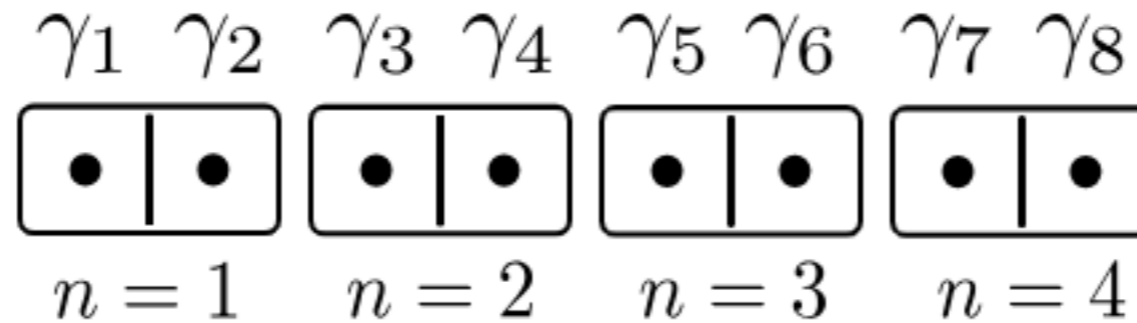
# Overview

- Majoranas as a transformation
- 1-D Kitaev Hamiltonian
- Kitaev Energies and Topology
- Applications
- 2-D Kitaev on a Honeycomb Lattice

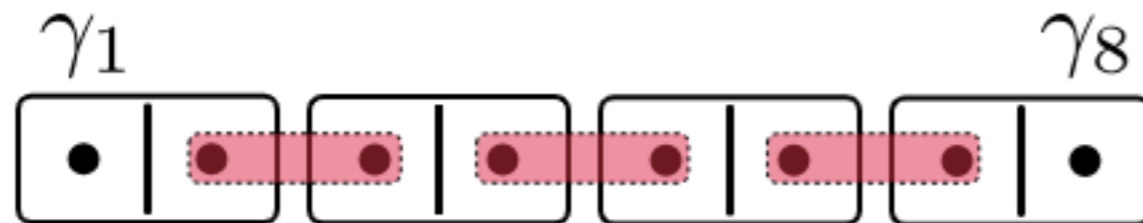
# Majoranas

Imagine if we have a regular set of fermionic operators  $C$  and  $C^\dagger$  which we transform into a different set according to the rules  $C^\dagger = \frac{1}{2}(\gamma_1 + i\gamma_2)$  and  $C = \frac{1}{2}(\gamma_1 - i\gamma_2)$

$$\{\gamma_1, \gamma_2\} = 0, \gamma_1^2 = 0, \gamma_2^2 = 1$$



no unpaired Majoranas



unpaired Majorana modes

$$H = it \sum_{n=1}^{N-1} \gamma_{2n} \gamma_{2n+1}$$

$$H = (i/2) \mu \sum_{n=1}^N \gamma_{2n-1} \gamma_{2n}$$

# Kitaev 1-D Chain



- **Hamiltonian in terms of Majorana Operators**

- Simple case  $\mu = 0, t = \Delta$

$$\mathcal{H}_{\text{chain}} = -t \sum_{i=1}^{N-1} \left( c_i^\dagger c_{i+1} + c_i c_{i+1} + c_{i+1}^\dagger c_i + c_{i+1}^\dagger c_i^\dagger \right) \quad \boxed{\gamma_{i,2} = c_i^\dagger + c_i}$$

$$= -t \sum_{i=1}^{N-1} \left( (c_i^\dagger + c_i) c_{i+1} + c_{i+1}^\dagger (c_i + c_i^\dagger) \right) = -t \sum_{i=1}^{N-1} \left( \gamma_{i,2} c_{i+1} + c_{i+1}^\dagger \gamma_{i,2} \right)$$

$$= -\frac{t}{2} \sum_{i=1}^{N-1} \left( \gamma_{i,2} \gamma_{i+1,2} + i \gamma_{i,2} \gamma_{i+1,1} + \gamma_{i+1,2} \gamma_{i,2} - i \gamma_{i+1,1} \gamma_{i,2} \right)$$

- Recall anticommutation  $\{\gamma_{i,k}, \gamma_{j,l}\} = 2\delta_{ij}\delta_{kl}$

$$\mathcal{H}_{\text{chain}} = -\frac{t}{2} \sum_{i=1}^{N-1} \left( \gamma_{i,2} \gamma_{i+1,2} + i \gamma_{i,2} \gamma_{i+1,1} - \gamma_{i,2} \gamma_{i+1,2} + i \gamma_{i,2} \gamma_{i+1,1} \right) = \boxed{-it \sum_{i=1}^{N-1} \gamma_{i,2} \gamma_{i+1,1}}$$

# Kitaev Hamiltonian

The most general Hamiltonian is:

$$H = -\mu \sum_n c_n^\dagger c_n - t \sum_n (c_{n+1}^\dagger c_n + \text{h.c.}) + \Delta \sum_n (c_n c_{n+1} + \text{h.c.}).$$

Onsite Potential      Intersite Hopping      Superconducting Pairing,  
think in terms of Cooper Pairs

If we now have,

$$C \equiv (c_1, \dots, c_n, c_1^\dagger, \dots, c_n^\dagger)$$

$$\mathcal{H} = C^\dagger H_{BdG} C$$

Then,

$$H_{BdG} = - \sum_n \mu \tau_z |n\rangle \langle n| - \sum_n [(t \tau_z + i \Delta \tau_y) |n\rangle \langle n+1| + \text{h.c.}].$$

**This is the Bogoliubov de-Gennes Trick!**

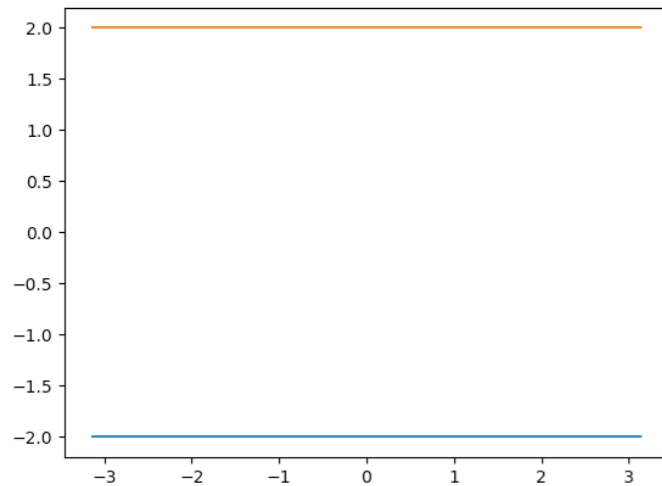
# Fourier Space

$$|k\rangle = (N)^{-1/2} \sum_{n=1}^N e^{-ikn} |n\rangle.$$

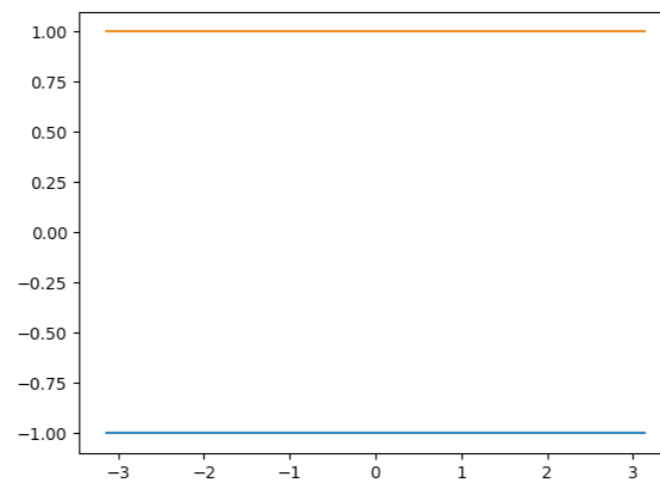
$$H(k) \equiv \langle k| H_{\text{BdG}} |k\rangle = (-2t \cos k - \mu) \tau_z + 2\Delta \sin k \tau_y.$$

The eigenspectra is thus:

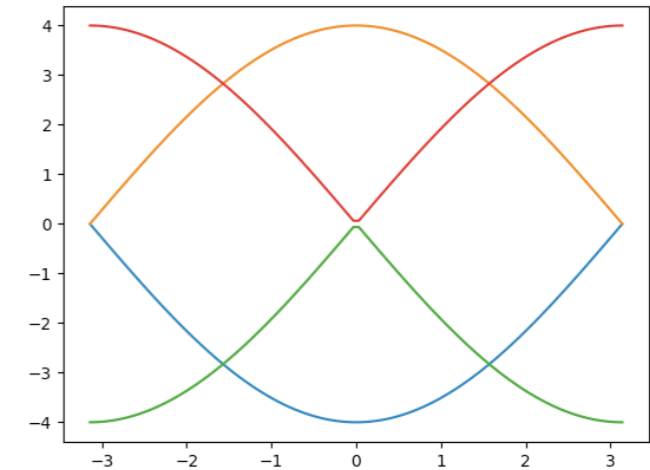
$$E(k) = \pm \sqrt{(2t \cos k + \mu)^2 + 4\Delta^2 \sin^2 k}.$$



$$\mu = 0, t = \delta = 1$$



$$\mu = 1, t = \delta = 0$$



$$\mu = \pm 2, t = \delta = 1$$

**Crossing! Topology revealed**

# Applications

- Robust Information Storage
- Quantum Hall system with filling fraction  $5/2$
- Core of Half vortices (p-wave superconductors  $\text{Sr}_2\text{RuO}_4$ )

Read and Green, Phys. Rev. B 61 (2000) 10267;

Ivanov, Phys. Rev. Lett. 86 (2001) 268;

Stern, von Oppen and Mariani, Phys. Rev. B 70 (2004) 205338;

Tewari, Zhang, Das Sarma, Nayak and Lee, Phys. Rev. Lett. 100 (2008) 027001

# Quantum Statistics

- Abelian: Single component wavefunction

Phase addition is commutative

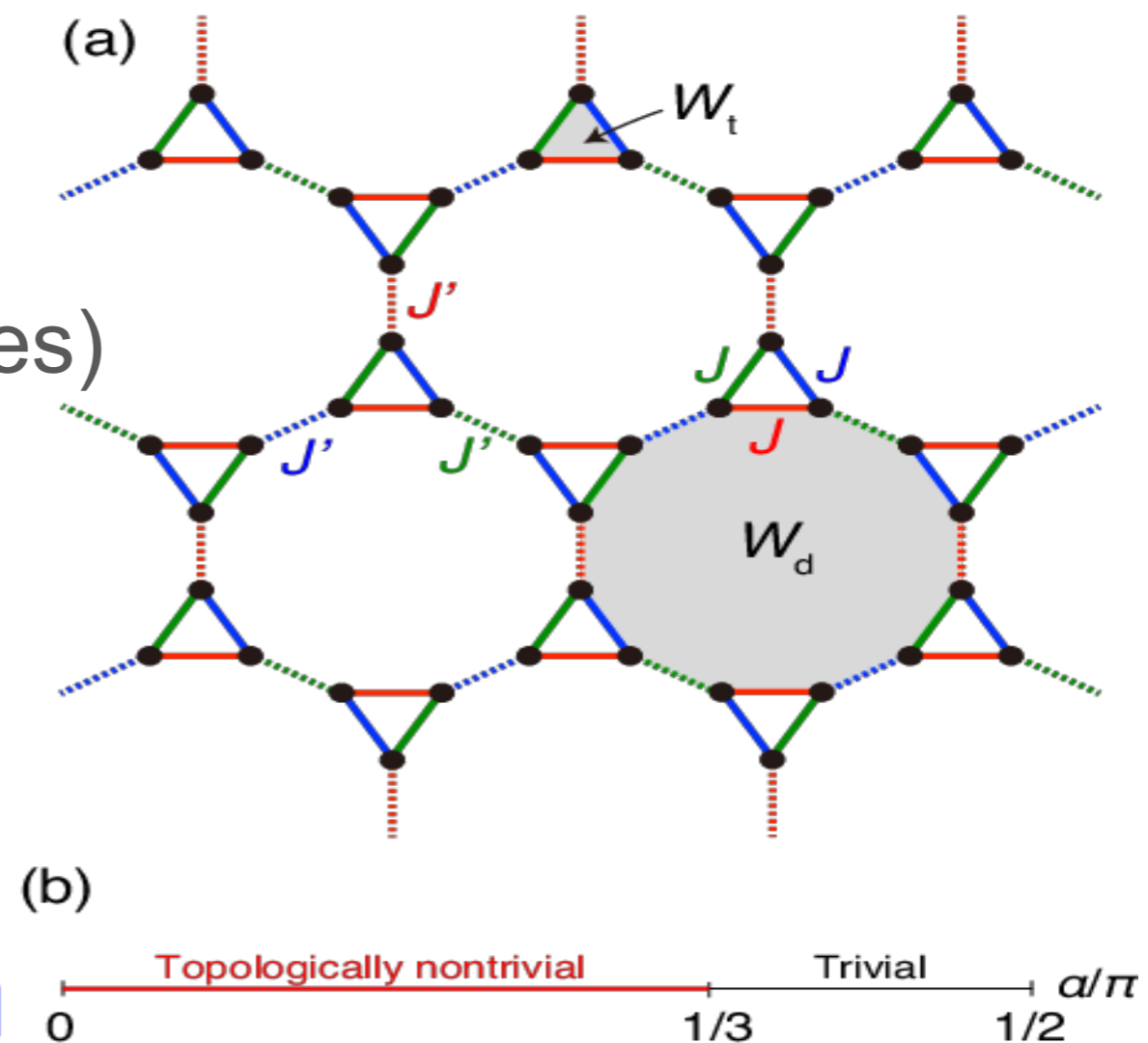
- Non-Abelian: N-component wavefunction

Unitary matrix multiplication is not commutative



# Kitaev Model (2D Honeycomb Lattice)

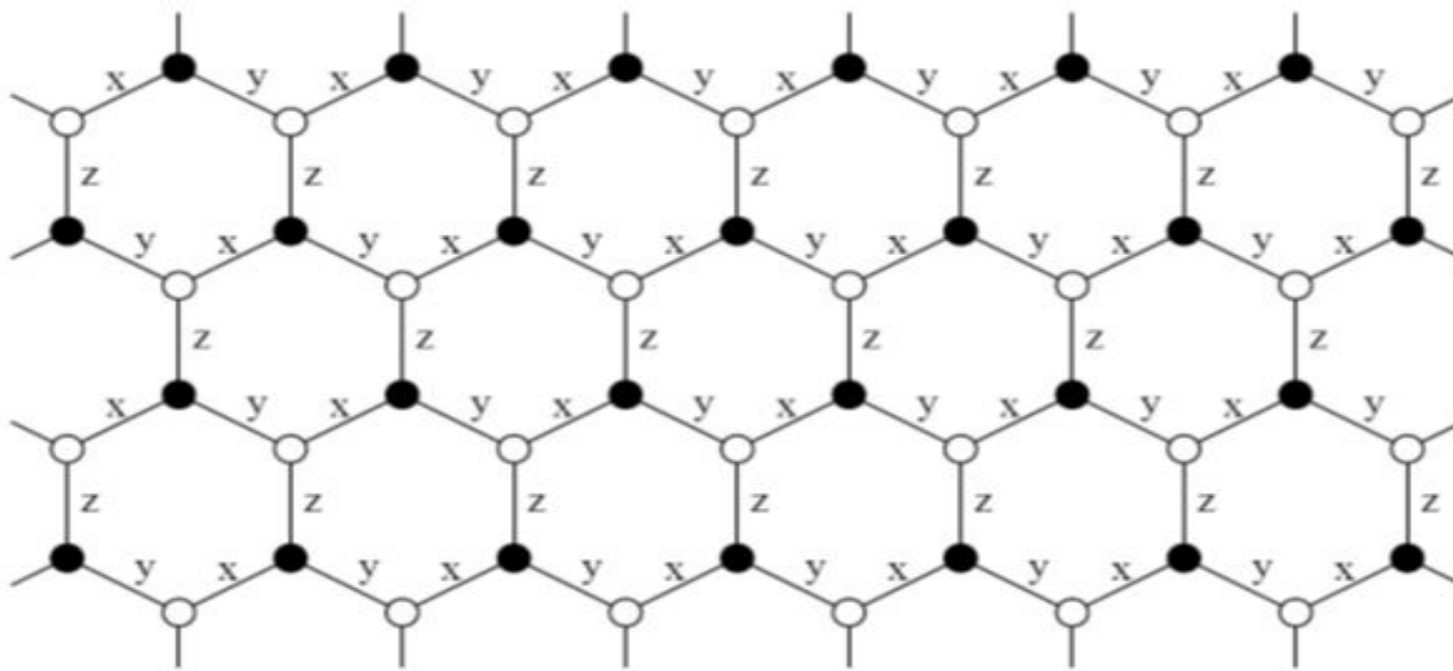
- Representations
- Jordan Wigner Transformation
- **Conserved Quantities**
- Quantum Statistics (Fusion rules)
- Spin Correlation functions



Feng, Zhang and Xiang, Phys. Rev. Lett. 98 (2007) 087204; Lee, Zhang and Xiang, Phys. Rev. Lett. 99 (2007) 196805; Chen and Nussinov, J. Phys. A 41 (2008) 075001

# Kitaev Model

$$H = J_1 \sum_{x\text{-link}} \sigma_n^x \sigma_m^x + J_2 \sum_{y\text{-link}} \sigma_n^y \sigma_m^y + J_3 \sum_{z\text{-link}} \sigma_n^z \sigma_m^z$$



*Ground state can be rigorously solved*

A. Kitaev, Ann Phys **321**, 2 (2006)

# Jordan Wigner Transformation

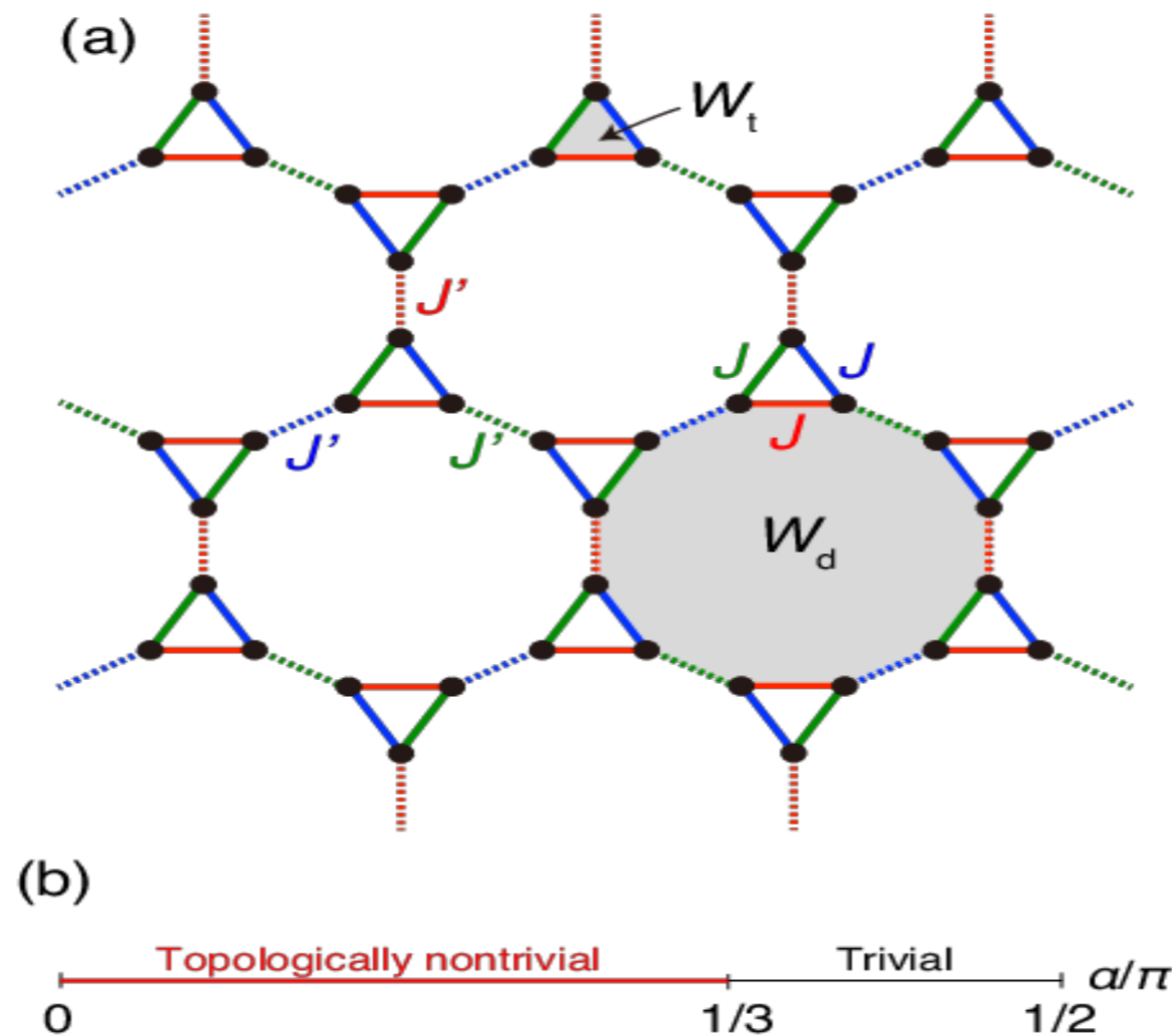
$$a_{\vec{n}} = \left[ \prod_{\vec{m}=-\infty}^{\vec{n}-1} \sigma_{\vec{m}}^z \right] \sigma_{\vec{n}}^y \quad (\sigma_{\vec{n}}^x)$$

$$b_{\vec{n}} = \left[ \prod_{\vec{m}=-\infty}^{\vec{n}-1} \sigma_{\vec{m}}^z \right] \sigma_{\vec{n}}^x \quad (\sigma_{\vec{n}}^y)$$



# Conserved Quantities

$$W = \sigma_1^y \sigma_2^z \sigma_3^x \sigma_4^y \sigma_5^z \sigma_6^x$$



Diptiman Sen, IISc  
Bangalore

# Non Abelian Statistics and braiding

